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PTO/SB/05 (08-00)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 2100632-991122

First Inventor Janet Wasowicz

Title DIAGNOSTIC SYSTEM AND METHOD FOR PHONOLOGICAL
AWARENESS, PHONOLOGICAL PROCESSING, AND READING
SKILL TESTING

Express Mail Label No. EL 904925519 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.

3. ☒ Specification [Total Pages: 49]
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to sequence listing, a table
or a computer program listing appendix
- Background of the Invention
- Brief Description of the Drawings(if filed)
- Detailed description
- Claim(s)
- Abstract of the Disclosure

- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 20]

- ☒ Oath or Declaration (2) [Total Pages: 3 ea.]

- a. ☐ Newly executed (original or copy)

- b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)

- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).

- ☐ Application Data Sheet. See 37 CFR 1.76.

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☐ Computer Readable Form (CRF)

- b. Specification Sequence Listing on:

- i. ☐ CD-ROM or CD-R (2 copies; or

- ii. ☐ paper

- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement ☒ Power of
(when there is an assignee) Attorney

11. ☐ English Translation Document (if applicable)

12. ☐ Information Disclosure ☐ Copies of
Statement (IDS)/PTO-1449 IDS Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.

17. ☒ Other: Check # 487532 for \$1,732;
Transmittal of Formal Drawings (1 pg.);
Twenty-one (21) sheets of formal dwgs.;
Return postcard;

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in
an Application Data Sheet under 37 CFR 1.76:

- ☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: 09/350,791 filed July 9, 1999.

Prior application information: Examiner: C. Harris

Group/Art Unit: 3713

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied
under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by
reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

- ☒ Customer Number or Bar Code Label

or ☐ Correspondence address below

Name Timothy W. Lohse

Address Gray Cary Ware & Freidenrich

1755 Embarcadero Road

City Palo Alto

State

CA

Zip Code

94303

Country US

Telephone

650/320-7426

Fax

650/320-7401

NAME (Print/Type) Timothy W. Lohse

Registration No. (Attorney/Agent)

35,255

Signature

Date

August 24, 2001

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1,732.00

Complete if Known

| | |
|----------------------|----------------|
| Application Number | N/A |
| Filing Date | Filed herewith |
| First Named Inventor | Janet Wasowicz |
| Examiner Name | Not Assigned |
| Group Art Unit | Not Assigned |
| Attorney Docket No. | 2100632-991122 |

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 07-1896

Deposit Account Name Gray Cary Ware & Freidenrich

- ☒ Charge Any additional Fee Required Under 37 CFR 1.16 and 1.17

- ☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Code | Large Entity Fee (\$) | Small Entity Code | Small Entity Fee (\$) | Fee Description | Fee Paid |
|-------------------|-----------------------|-------------------|-----------------------|------------------------|----------|
| 101 | 710 | 201 | 355 | Utility filing fee | 355 |
| 106 | 320 | 206 | 160 | Design filing fee | |
| 107 | 490 | 207 | 245 | Plant filing fee | |
| 108 | 710 | 208 | 355 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |

SUBTOTAL (1) (\$) 355.00

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 133 | -20** = 113 | 9 | 1017 |
| Independent Claims | 12 | -3** = 9 | 360 |
| Multiple Dependent | | X | |

| Large Entity Code | Large Entity Fee (\$) | Small Entity Code | Small Entity Fee (\$) | Fee Description |
|-------------------|-----------------------|-------------------|-----------------------|--|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid |
| 109 | 80 | 209 | 40 | ** Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 1,377.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Code | Large Entity Fee (\$) | Small Entity Code | Small Entity Fee (\$) | Fee Description | Fee Paid |
|-------------------|-----------------------|-------------------|-----------------------|--|----------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 390 | 216 | 195 | Extension for reply within second month | |
| 117 | 890 | 217 | 445 | Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | |
| 119 | 310 | 219 | 155 | Notice of Appeal | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 11 | 0240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,240 | 241 | 620 | Petition to revive - unavoidable | |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 | Design issue fee | |
| 144 | 600 | 244 | 300 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 126 | 240 | 126 | 240 | Submission of Information Disclosure Strms | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00

SUBMITTED BY

Name (Print/Type) Timothy W. Vohse

Signature

Complete (if applicable)

Registration No. (Attorney/Agent)

35,255

Telephone

650-320-7426

Date

August 24, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SENT FEES OR COMPLETED FORM TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Janet Wasowicz

Serial No.: Not Assigned

Group Art Unit: Not Assigned

Filed: Filed herewith

Examiner: Not assigned

Title: DIAGNOSTIC SYSTEM AND METHOD FOR PHONOLOGICAL AWARENESS,
PHONOLOGICAL PROCESSING, AND READING SKILL TESTING

* *

TRANSMITTAL OF FORMAL DRAWINGS

Asst. Commissioner for Patents
Washington, DC 20231

Dear Sir:

Transmitted herewith are twenty-one (21) sheets of formal drawings for the above-
identified application.

Please address all communications regarding this application to:

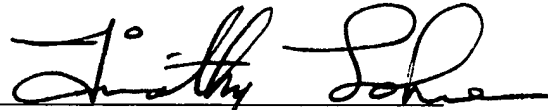
Timothy W. Lohse
Patent Department - EM
GRAY CARY WARE & FREIDENRICH LLP
1755 Embarcadero Road
Palo Alto, CA 94303

Please direct all telephone calls to Timothy W. Lohse at (650) 320-7426.

Dated 8/24/2001

GRAY CARY WARE & FREIDENRICH LLP

By



Timothy W. Lohse
Reg. No. 35,255
Attorney for Applicant

GRAY CARY WARE & FREIDENRICH LLP
ATTN: Patent Department
1755 Embarcadero Road
Palo Alto, CA 94303
(650) 320-7426

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXPRESS MAIL NUMBER: EL904925519 US

DATE OF DEPOSIT: August 24, 2001

I hereby certify that this paper is being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Box PATENT APPLICATION, Commissioner for Patents, Washington, DC 20231.


Cathy A. Pittman

* * *

CERTIFICATE OF MAILING BY EXPRESS MAIL

COMMISSIONER OF PATENTS
Washington, D.C. 20231

ATTN: BOX PATENT APPLICATION

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is the divisional patent application of:

INVENTOR(s): Janet Wasowicz

FOR: DIAGNOSTIC SYSTEM AND METHOD FOR PHONOLOGICAL
AWARENESS, PHONOLOGICAL PROCESSING, AND READING SKILL
TESTING

Enclosed are:

- ☒ Utility Transmittal (1 pg.)
- ☒ 49 pages of specification, claims and abstract
- ☒ 20 sheets of Informal Drawings
- ☒ Fee Transmittal (1 pg.)
- ☒ Check # 487532 for \$ 1,732.00;
- ☒ Copies of original: (a) Two (2) Declarations and Power of Attorney (3 pgs. ea.); (b) Two (2) Recordation cover sheets with Assignments (4 pgs. each); and (c) Verified Small Entity Statement (2 pgs.);
- ☒ Transmittal of Formal Drawings (1 pg.);
- ☒ Twenty-one (21) sheets of formal drawings;
- ☒ Express Mail No.: EL904925519 US
- ☒ Return Postcard

104280-4T06660

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

| | |
|---|--|
| <i>Express Mail Label Number: <u>EL 904925519 US</u></i> | |
| <i>I hereby certify that this Utility Patent Application is being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Utility Patent Application, Commissioner of Patents & Trademarks; Washington, DC 20231.</i> | |
| <i>8/04/2001</i> Date | <i>Gregory A. Pittman</i> Signature |

0033004406660